

Governance and Audit Committee

Meeting Venue
Hybrid meeting - Zoom - County Hall

Meeting Date
Friday, 23 June 2023

Meeting Time
10.00 am

For further information please contact
Wyn Richards
01597 826375
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County Hall
Llandrindod Wells
Powys
LD1 5LG

13-06-2023

Mae croeso i chi siarad yn Gymraeg neu yn Saesneg yn y cyfarfod.
Rhowch wybod pa iaith rydych am ei defnyddio erbyn hanner dydd, ddau ddiwrnod
gwaith cyn y cyfarfod.

You are welcome to speak Welsh or English in the meeting.
Please inform us of which language you wish to use by noon, two working days
before the meeting.

AGENDA

1.	ELECTION OF CHAIR	10.00 AM
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To elect a Chair for the Ensuing Year.

(Note: The Chair must be an Independent / Lay Member. There are no restrictions on re-appointing the current Chair should the Committee wish to do so)

2.	ELECTION OF VICE-CHAIR	10.05 AM
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To elect a Vice-Chair for the Ensuing Year.

(Note: The Vice-Chair can be an Independent / Lay Member or a Councillor. There are no restrictions on re-appointing the current Vice-Chair should the Committee wish to do so)

3.	APOLOGIES	10.10 AM
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To receive apologies for absence.

4.	DISCLOSURES OF INTEREST	
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To receive any disclosures of interest by Members relating to items to be considered at the meeting.

5.	MINUTES AND ACTION LOG	10.15 AM
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(i) To authorise the Chair to sign the minutes of the previous meeting held on 05-05-2023 as a correct record.

(ii) To receive and consider the Action Log.
(Pages 7 - 20)

Audit Wales Items

6.	AUDIT WALES REVIEW - POWYS PLANNING SERVICE	10.25 AM
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(i) To receive and consider the Audit Wales Review – Powys Planning Service.

(ii) To receive and consider the Service's response and action plan.
(Pages 21 - 70)

Corporate Items

7.	ANNUAL GOVERNANCE STATEMENT ASSURANCE	10.50 AM
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To receive and consider the report of the Head of Transformation and Democratic Services.

(To Follow)

8.	CORPORATE SELF ASSESSMENT	11.10 AM
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To receive and consider the Corporate Self Assessment.

(To Follow)

9.	RISK MANAGEMENT POLICY AND GUIDANCE	11.30 AM
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To receive and consider the report of the Cabinet Member for Finance and Corporate Transformation.

(Pages 71 - 134)

10.	CORPORATE COMPLAINTS ANNUAL REPORT	11.50 AM
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To receive and consider the report of the Cabinet Member for a Connected Powys.

(To Follow)

SWAP Items

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11.	SWAP - INTERNAL AUDIT PLAN 2023-24	12.10 PM
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To receive and consider the report of the Assistant Director (SWAP)
(Pages 135 - 154)

12.	SWAP - ANNUAL AUDIT OPINION REPORT 2022- 2023	12.30 PM
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To receive and consider the report of the Assistant Director (SWAP)
(To Follow)

BREAK - 12.50 until 13.20		
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13.	SWAP - REVIEW OF HOUSING VOIDS (HOUSING SERVICE)	1.20 PM
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To receive and consider the report of the Assistant Director (SWAP)
(Pages 155 - 158)

14.	SWAP - REVIEW OF STATUTORY COMPLIANCE (HOUSING SERVICE)	1.40 PM
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To receive and consider the report of the Assistant Director (SWAP)
(Pages 159 - 166)

15.	CORPORATE SAFEGUARDING BOARD ACTIVITY REPORT	2.00 PM
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To receive and consider the Corporate Safeguarding Board Activity Report.
(Pages 167 - 172)

16.	APPOINTMENTS BY THE COMMITTEE	2.15 PM
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- (i) To appoint a Member as the representative to the Finance Panel.

(Note: There is no restriction to re-appointing the current representative to this role)
- (ii) To reappoint or amend the Membership of the Committee's Working Groups (see attached)

17.	WORKING GROUPS	2.30 PM
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To receive feedback from the following Working Groups:

17.1.	HoWPS Working Group - 24-05-2023	
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(Pages 173 - 174)

17.2.	Capital Working Group - 24-05-2023	
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(Pages 175 - 178)

17.3.	HTR Working Group 30-05-2023	
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(Pages 179 - 180)

17.4.	Internal Audit Working Group 24-05-2023	
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(Pages 181 - 182)

18.	WORK PROGRAMME	2.40 PM
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To consider the forward work programme (attached) and consider whether any additional items should be included.

(Pages 183 - 188)

Risk Deep Dive

19.	DEMAND IN CHILDREN'S SERVICES	2.45 PM
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To receive and consider an extract from the Strategic Risk Register.

(Pages 189 - 192)

20.	EXEMPT ITEM	
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To consider passing the following Resolution:

RESOLVED to exclude the public for the following item of business on the grounds that there would be disclosure to them of exempt information under category 3 of The Local Authorities (Access to Information) (Variation) (Wales) Order 2007).

The Monitoring Officer has determined that category 3 of the Access to Information Procedure Rules applies to the following item. His view on the public interest test (having taken account of the provisions of Rule 14.8 of the Council's Access to Information Rules) was that to make this information public would disclose information relating to the financial or business affairs of any particular person (including the authority holding that information).

These factors in his view outweigh the public interest in disclosing this information. Members are asked to consider these factors when determining the public interest test, which they must decide when considering excluding the public from this part of the meeting.

21.	CONFIDENTIAL PRESENTATION	
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To receive and consider a confidential presentation by the Director of Education and Children and the Head of Children's Services.

Committee Reflection

Following the close of the meeting, the Committee is asked to take 5 to 10 minutes to reflect on today's meeting.